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(71) Applicant: CARBON NANOTECHNOLOGIES, INC. [US/US]; 16200 Park Row, Houston, TX 77084 (US).

(72) Inventors: MCELRATH, Kenneth, O.; 4314 Village Corner Drive, Houston, TX 77059 (US). SMITH, Kenneth, A.; 11322 Birdwing Lane, Houston, TX 77067 (US). TIANO, Thomas, M.; 16 Kirsie Circle, Westford, MA

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(54) Title: COMPOSITE MATERIALS COMPRISING POLAR POLYMERS AND SINGLE-WALL CARBON NANOTUBES

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(57) **Abstract:** The invention relates to a composite comprising a weight fraction of single-wall carbon nanotubes and at least one polar polymer wherein the composite has an electrical and/or thermal conductivity enhanced over that of the polymer alone. The invention also comprises a method for making this polymer composition. The present application provides composite compositions that, over a wide range of single-wall carbon nanotube loading, have electrical conductivities exceeding those known in the art by more than one order of magnitude. The electrical conductivity enhancement depends on the weight fraction (F) of the single-wall carbon nanotubes in the composite. The electrical conductivity of the composite of this invention is at least 5 Siemens per centimeter (S/cm) at (F) of 0.5 (i.e. where single-wall carbon nanotube loading weight represents half of the total composite weight), at least 1 S/cm at a F of 0.1, at least 1×10^{-4} S/cm at (F) of 0.004, at least 6×10^{-9} S/cm at (F) of 0.001 and at least 3×10^{-16} S/cm (F) plus the intrinsic conductivity of the polymer matrix material at of 0.0001. The thermal conductivity enhancement is in excess of 1 Watt/m·°K. The polar polymer can be polycarbonate, poly(acrylic acid), poly(acrylic acid), poly(methacrylic acid), polyoxide, polysulfide, polysulfone, polyamides, polyester, polyurethane, polyimide, poly(vinyl acetate), poly(vinyl alcohol), poly(vinyl chloride), poly(vinyl pyridine), poly(vinyl pyrrolidone), copolymers thereof and combinations thereof. The composite can further comprise a nonpolar polymer, such as, a polyolefin polymer, polyethylene, polypropylene, polybutene, polyisobutene, polyisoprene, polystyrene, copolymers thereof and combinations thereof.

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COMPOSITE MATERIALS COMPRISING POLAR POLYMERS AND
SINGLE-WALL CARBON NANOTUBES

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CROSS-REFERENCE TO RELATED APPLICATION

This application claims priority from United States provisional application, Serial No. 60/364,170, filed March 14, 2002, which application is incorporated herein by reference.

10

FIELD OF THE INVENTION

This invention relates generally to single-wall carbon nanotubes, and more particularly to composites comprising single-wall carbon nanotubes and polar polymers, and even more particularly to composites of single-wall carbon nanotubes and polar polymers having high electrical and/or thermal conductivity.

15

BACKGROUND OF THE INVENTION

Single-wall carbon nanotubes (SWNT), commonly known as "buckytubes," have been the subject of intense research since their discovery due to their unique properties, 20 including high strength, stiffness, and thermal and electrical conductivity. Single-wall carbon nanotubes are fullerenes consisting essentially of sp^2 -hybridized carbon atoms typically arranged in hexagons and pentagons. For background information on single-wall carbon nanotubes see B.I. Yakobson and R. E. Smalley, *American Scientist*, Vol. 85, July-August, 1997, pp. 324-337. Multi-wall carbon nanotubes are nested single-wall 25 carbon cylinders and possess some properties similar to single-wall carbon nanotubes. Multi-wall carbon nanotubes (MWNT) have been shown to be moderately effective in enhancing the electrical conductivity of composites that comprise MWNT in a polymer matrix. (see, for example S.A. Curran et al, *Advanced Materials*, Vol 10, p. 1091 (1998); J.N. Coleman et al., *Physical Review B*, Vol 58 p. R7492 (1998); Grimes et al., *Chemical 30 Physics Letters*, Vol. 319, p. 460 (2000); and P. Fournet et al., *Journal of Applied Physics*,

Vol. 90, p.969 (2001)). However, since single-wall carbon nanotubes have fewer defects than multi-wall carbon nanotubes, the single-wall carbon nanotubes are generally stronger and more conductive, and are therefore expected to provide both mechanical enhancement and electrical conductivities in polymer composites. A small amount of 5 work has been done in this area with methacrylate polymer matrices providing some useful results, but these results show relatively low electrical conductivities (Grimes et al., *Chemical Physics Letters*, Vol. 319, p. 460 (2000); R Haggenmueller et al., *Chemical Physics Letters*, Vol. 330, p. 219 (2000); J-M. Benoit et al., *Synthetic Metals*, Vol. 121, p. 1215 (2001)).

10

In polymers, single-wall carbon nanotubes have substantial potential for enhancing the polymers' strength, toughness, electrical conductivity and thermal conductivity. However, achieving the full potential of the properties of single-wall carbon nanotubes in polymers has been hampered by the difficulty of dispersing the 15 nanotubes. A method for producing compositions comprising well-dispersed single-wall carbon nanotubes in polymeric materials is sought in order to achieve greater electrical and thermal conductivity in polymer composites.

20

SUMMARY OF THE INVENTION

The invention relates to a composite comprising a weight fraction of single-wall carbon nanotubes and at least one polar polymer wherein the composite has an electrical conductivity enhanced over that of the polymer alone. The invention also comprises a 25 method for making this polymer composition. The present application provides composite compositions that, over a wide range of SWNT loading, have electrical conductivities exceeding those known in the art by more than one order of magnitude.

The electrical conductivity enhancement depends on the weight fraction (F) of the single-wall carbon nanotubes in the composite. The electrical conductivity of the composite of this invention is at least 5 Siemens per centimeter (S/cm) at (F) of 0.5 (i.e. where SWNT weight represents half of the total composite weight), at least 1 S/cm at a F 5 of 0.1, at least 1×10^{-4} S/cm at a F of 0.004, at least 6×10^{-9} S/cm at a F of 0.001 and at least 3×10^{-16} S/cm at a F of 0. The polar polymer can be a polycarbonate, poly(acrylic acid), poly(methacrylic acid), polyoxide, polysulfide, polysulfone, polyamide, polyester, polyurethane, polyimide, poly(vinyl acetate), poly(vinyl alcohol), poly(vinyl chloride), poly(vinyl pyridine), poly(vinyl pyrrolidone), copolymers thereof and combinations 10 thereof. The preferred polar polymer is polycarbonate. The composite can further comprise a nonpolar polymer, such as, but not limited to, a polyolefin polymer, polyethylene, polypropylene, polybutene, polyisobutene, polyisoprene, polystyrene, copolymers thereof and combinations thereof. The average spacing between material elements comprising single wall carbon nanotubes, such as single-wall nanotubes 15 themselves or single-wall carbon nanotube ropes in the composite is not more than 300 nanometers and these elements form a percolated 3-dimensional network comprising single-wall carbon nanotubes.

This invention additionally is a composite comprising a polymer and single-wall 20 carbon nanotubes having a thermal conductivity enhanced over that of the polymer alone. The thermal conductivity of the composite is at least about 1 Watt/meter-°Kelvin (W/m-°K) multiplied by the weight fraction (F) of the nanotubes in the composite.

25

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows a scanning electron micrograph of a freeze-fractured surface of a SWNT-polycarbonate composite specimen. This example has a SWNT weight fraction of about 0.10. The SWNT material is clearly well-dispersed.

Figure 2 shows a scanning electron micrograph of a freeze-fractured surface of a SWNT-polycarbonate composite specimen. This example has a SWNT weight fraction of about 0.01. The SWNT material is clearly well-dispersed, but the density of dispersed material is clearly less than that shown in Figure 1. White arrows indicate material comprising SWNT.

Figure 3 shows the measured electrical conductivity of the composite of the present invention in comparison to those known in the art. This figure also plots a function giving the minimum conductivity of the composite of this invention for a range of weight fractions of SWNT in the composite. This function is defined below and graphically represents the properties of the composite of this invention. The conductivities measured for the composite compositions produced according to Example 1 below are indicated in the Figure. Points plotted as circles refer to data for SWNT, while points plotted as squares refer to data for MWNT.

Figure 4 shows the measured thermal conductivity of the composite of the present invention.

Figure 5 shows the enhancement to thermal conductivity of the composite of Figure 4 provided by addition of SWNT. This is a plot of the difference between the thermal conductivity of the pure polymer matrix material and the thermal conductivities of the composite samples prepared according to Example 1 comprising SWNT. The solid triangles are the data from Example 1 and the open triangles represent a line given by Thermal conductivity (W/m·°K) = 10F, where F is the weight fraction of SWNT in the composite.

DETAILED DESCRIPTION OF SPECIFIC EMBODIMENTS

One embodiment of this invention is a composite comprising a weight fraction of single-wall carbon nanotubes and at least one polar polymer wherein the composite has

5 an electrical conductivity of at least 5 Siemens per centimeter (S/cm) multiplied by the weight fraction (F) of single-wall carbon nanotubes in the composite. The average spacing between material elements comprising single wall carbon nanotubes, such as single-wall nanotubes themselves or single-wall carbon nanotube ropes in the composite is not more than 300 nanometers and these elements form a percolated 3-dimensional

10 network comprising single-wall carbon nanotubes. The thermal conductivity of the composite is at least about 1 Watt/meter-°K multiplied by the weight fraction (F) the nanotubes in the composite.

In one embodiment of this invention, the composite comprises single-wall carbon

15 nanotubes and one or more polar polymers wherein the single-wall carbon nanotubes are highly dispersed in the polymer matrix formed by the polymers of the composite. In one embodiment, the composite comprises a polar polymer and a non-polar polymer in the polymer matrix. The composite is more electrically- and thermally-conductive than the polymer matrix would be without incorporation of the nanotubes due to the highly

20 dispersed state of the single-wall carbon nanotubes in a polymer matrix that creates a 3-dimensional percolated network comprising multiple electrically- and thermally-conductive paths in the polymer matrix.

A polar polymer is one that possesses a polar chemical group. Suitable polar

25 polymers include, but are not limited to, polycarbonate, poly(acrylic acid), poly(methacrylic acid), polyoxides, polysulfides, polysulfones, polyamides, polyesters, polyurethanes, polyimides, poly(vinyl acetate), poly(vinyl alcohol), poly(vinyl chloride), poly(vinyl pyridine), poly(vinyl pyrrolidone), copolymers thereof, and combinations thereof. The preferred polar polymer is polycarbonate. Single-wall carbon nanotubes

and polar polymers can be suspended in highly-polar solvents such as dimethyl formamide. Relatively high concentrations of single-wall carbon nanotubes, *i.e.*, from about 0.01 wt% to about 20 wt%, can be substantially uniformly dispersed in a matrix comprising one or more polar polymers with appropriate mixing procedures. Generally,

5 the amount of nanotubes added to a polymer is desirably small, so that the mechanical and processing properties of the polymer are retained. With a uniform dispersion of single-wall carbon nanotubes in the nanotube-polymer composite, the composite can have high electrical and thermal conductivities without sacrificing the desirable polymer properties.

10

One of the keys to formulation of effective composite compositions comprising polymer matrices and single-wall carbon nanotubes is to achieve a high level of dispersion of the single-wall carbon nanotubes in the polymer matrix. Choice of a polar polymer for the matrix material is one aspect of this invention. Without being limited by 15 theory, it appears that the choice of a polar polymer for the matrix material enhances the dispersion of the SWNT in the matrix. SWNT are known to be highly polarizable molecules. This enhanced dispersion of SWNT in polar polymer matrices is believed to be due to interaction of the polar groups on the polymer with the SWNT.

20

In one embodiment, the nanotube-polymer composite comprises single-wall carbon nanotubes and at least one polar polymer. In another embodiment, the nanotube-polymer composite comprises single-wall carbon nanotubes, one or more polar polymers and one or more non-polar polymers. Such non-polar polymers include, but are not limited to, polyolefin polymers, polyethylenes, polypropylenes, polyisobutylenes, 25 polybutenes, polystyrenes, polyisobutylenes, polybutenes, copolymers thereof and combinations thereof. Generally, single-wall carbon nanotubes do not disperse well in non-polar polymers, however, polar polymers can blend well with non-polar polymers.

5 In one embodiment, the composite comprises a weight fraction (F) [where (F) is defined as the single-wall carbon nanotube weight in the composite divided by the total weight of the composite] of single-wall carbon nanotubes and at least one polar polymer, wherein the weight fraction (F) is greater than zero and less than 0.5, and wherein the electrical conductivity of the composite is:

- (a) at least $10F$ Siemens/cm when $0.10 \leq F < 0.50$
- (b) at least $410(F-0.001)^{2.6}$ Siemens/cm when $0.004 \leq F < 0.1$ and
- (c) at least $7 \times 10^{12}(F-0.00001)^7$ Siemens/cm when $F < 0.004$

10

Throughout, weight fractions may be defined in units of wt% or without units. The skilled artisan will understand that, *e.g.*, 10 wt% corresponds to a weight fraction of 0.10.

15

In another embodiment, the composite comprises a weight fraction (F) of single-wall carbon nanotubes and at least one polar polymer, wherein the electrical conductivity of the composite of this invention is at least 5 Siemens per centimeter (S/cm) when $F \geq 0.5$, at least 1 S/cm when $0.5 > F \geq 0.1$, at least 1×10^{-4} S/cm when $0.1 > F \geq 0.004$, at least 6×10^{-9} S/cm when $0.004 > F \geq 0.001$ and at least 3×10^{-16} S/cm when $0.001 > F \geq 0.0001$.

20

In one embodiment, the composite comprises a weight fraction (F) of single-wall carbon nanotubes and at least one polymer, wherein the thermal conductivity of the composite is at least about 1 Watt/meter-°K multiplied by the weight fraction (F).

25

In one embodiment, the composite comprises a weight fraction (F) of single-wall carbon nanotubes and at least one polar polymer, wherein the thermal conductivity of the composite is at least about 1 Watt/meter-°K multiplied by the weight fraction (F).

In one embodiment, single-wall nanotubes are dispersed initially in one or more polar polymers, and the resulting mixture is subsequently blended with one or more non-polar polymers. In another embodiment, a mixture comprising one or more polar polymers and one or more non-polar polymers is prepared and then the single wall carbon 5 nanotubes are added and dispersed.

In one embodiment of this invention, the single-wall carbon nanotubes are dispersed in a solvent that is also a solvent for the polar polymer. The solvent-dispersed nanotubes are then mixed with the polar polymer. After thorough mixing, the solvent is 10 extracted, optionally under vacuum, while heating the solvent-polymer-nanotube mixture. Optionally, the mixing may be aided by heating, sonication, mechanical mixing or a combination thereof. The mixing of single-wall carbon nanotubes with at least one polar polymer produces a uniform distribution of single-wall carbon nanotubes in the polymer or polymer blend.

15

When the polar polymer is polycarbonate, uniform dispersions of single-wall carbon nanotubes can be obtained up to about 40 wt% of single-wall carbon nanotubes in the composite. In certain embodiments, polar polymer-single-wall-carbon-nanotube composites with polycarbonate have an electrical conductivity in excess of 20 20 Siemens/cm multiplied by the weight fraction single-wall carbon nanotubes in the composite material. These compositions have a thermal conductivity in excess of 10 Watts/meter-°K multiplied by the weight fraction of the single-wall carbon nanotubes in the composite.

25

In various embodiments of the invention, composites comprising single-wall carbon nanotubes, at least one polar polymer and, optionally, at least one non-polar polymer have enhanced electrical and thermal conductivity which make them useful in applications that require conduction of heat, conduction of electric charge, electromagnetic shielding, or a combination thereof. The mechanical and processing

surface of the SWNT-polycarbonate composite sample with a SWNT weight fraction of about 0.10.

Figure 2 shows a similar electron micrograph of the SWNT-polymer composite
5 with a SWNT weight fraction of about 0.01. The micrographs show an excellent dispersion of single-wall nanotubes in the polymer matrix. The average spacing between nanotube structures (including individual nanotubes and/or ropes of nanotubes) is less than 300 nm.

10 The electrical conductivity of the pressed sheet of composite material was measured using both AC and DC currents. The AC conductivity was measured at 1 kilohertz using a Hewlett Packard 4284A Precision LCR Meter using a 4-lead technique, and the DC conductivity was measured using a Keithley 2400 sourcemeter using a 4-lead technique. The results of these measurements are shown in Fig. 3, where they are
15 designated as "Example 1, AC measurement and DC measurement"

As is indicated in Fig. 3, the present invention provides a composite conductivity that is more than one order of magnitude (per carbon weight fraction) greater than that of previously known carbon-loaded composites. The region in Fig. 3 above the line
20 indicated "Function" represents the set of parameters for the electrical conductivity of the present invention. The set of values plotted by the line indicated "Function" on Fig. 3 is given below:

25 For SWNT weight fractions between 0.50 and 0.10,
Function (in Siemens/cm) = $10 \times (F)$

For SWNT weight fractions between 0.10 and 0.004,
Function (in Siemens/cm) = $410 \times (F \cdot 0.001)^{2.6}$

For SWNT weight fractions between 0.004 and 0
Function (in Siemens/cm) = $7 \times 10^{12} \times (F-0.00001)^7$

5 Thermal conductivity was measured using a steady-state, one-heater two-thermometer technique, and the results of the measurement are shown in Figs. 4 and 5. The SWNT network in the composite substantially enhances its thermal conductivity as is clearly indicated. This example provides the first embodiment of a measured enhancement of thermal conductivity of a SWNT-polymer composite. The line with
10 solid triangles in Fig. 5 is the thermal conductivity enhancement measured at different weight fractions (F) of SWNT for composite compositions of this invention. The line with open triangles is given by Thermal conductivity (Watts/meter-Kelvin) = $10 \times F$.

WHAT IS CLAIMED IS:

1. A composite comprising a weight fraction of single-wall carbon nanotubes and at least one polar polymer wherein the composite has an electrical conductivity of at least about 35 S/cm multiplied by the weight fraction of the nanotubes in the composite.
5
2. The composite of claim 1 wherein the polar polymer is selected from the group consisting of polycarbonate, poly(acrylic acid), poly(methacrylic acid), polyoxide, polysulfide, polysulfone, polyamide, polyester, polyurethane, polyimide, poly(vinyl acetate), poly(vinyl alcohol), poly(vinyl chloride), poly(vinyl pyridine), poly(vinyl pyrrolidone), copolymers thereof, and combinations thereof.
10
3. The composite of claim 1 further comprising at least one nonpolar polymer that is a polyolefin.
4. The composite of claim 1 further comprising at least one nonpolar polymer selected from the group consisting of polyethylene, polypropylene, polybutene, polyisobutene, polyisoprene, polyisobutylene, polystyrene, copolymers thereof, and combinations thereof.
15
5. The composite of claim 1 wherein the polar polymer is polycarbonate.
6. The composite of claim 1 wherein the weight fraction of single-wall carbon nanotubes in the composite is in the range of about 0.01 wt% and 20 wt%.
20
7. The composite of claim 1 where the average spacing between single-wall nanotubes in the composite is not more than 50 nanometers.
8. The composite of claim 1 wherein the composite has a thermal conductivity of at least about 10 watts/meter-°K multiplied by the weight fraction the nanotubes in the composite.
25

9. A composite of claim 1 wherein the single-wall carbon nanotubes form a percolated 3-dimensional network.
10. A method for forming a composite comprising a weight fraction of single-wall carbon nanotubes and at least one polar polymer comprising:
 - 5 a) dispersing a weight fraction of single-wall carbon nanotubes and at least one polar polymer in a solvent to make a nanotube-polymer suspension; and
 - b) removing the solvent from the suspension to form a nanotube-polymer composite wherein the polymer composite has an electrical conductivity of at least about 35 S/cm multiplied by the weight fraction of the nanotubes in the composite.
11. The method of claim 10 wherein the polar polymer is selected from the group consisting of polycarbonate, poly(acrylic acid), poly(methacrylic acid), polyoxide, polysulfide, polysulfone, polyamide, polyester, polyurethane, polyimide, poly(vinyl acetate), poly(vinyl alcohol), poly(vinyl chloride), poly(vinyl pyridine), poly(vinyl pyrrolidone), copolymers thereof, and combinations thereof.
12. The method of claim 10 wherein the nanotube-polymer suspension further comprises at least one non-polar polymer that is a polyolefin.
13. The method of claim 10 wherein the nanotube-polymer suspension further comprises at least one non-polar polymer selected from the group consisting of polyethylene, polypropylene, polybutene, polyisobutene, polyisoprene, polystyrene, copolymers thereof and combinations thereof.
14. The method of claim 10 wherein the polar polymer is polycarbonate.
15. The method of claim 10 wherein the weight fraction of single-wall carbon nanotubes in the composite is in the range of about 0.01 wt% and 20 wt%.

16. The method of claim 10 wherein the dispersing is done by a method selected from the group consisting of sonication, mechanical mixing, and a combination thereof.
17. The method of claim 10 wherein further comprising heating the suspension.
18. The method of claim 10 wherein the average spacing between single-wall nanotubes in the composite is not more than 50 nanometers.
5
19. The method of claim 10 wherein the composite has a thermal conductivity of at least about 10 watts/meter-°K multiplied by the weight fraction the nanotubes in the composite.
20. A method of claim 10 wherein the single-wall carbon nanotubes form a percolated 10 3-dimensional network.
21. A composite comprising a weight fraction (F) of single-wall carbon nanotubes and at least one polar polymer, wherein the weight fraction (F) is greater than zero and less than 50 wt%, and wherein the electrical conductivity of the composite is:
 - (a) at least $10F$ Siemens/cm when $10 \text{ wt\%} \leq F < 50 \text{ wt\%}$;
 - (b) at least $410(F-0.001)^{2.6}$ Siemens/cm when $0.4 \text{ wt\%} \leq F < 10 \text{ wt\%}$; and
15
 - (c) at least $7 \times 10^{12} \times (F-0.00001)^7$ Siemens/cm when $F < 0.4 \text{ wt\%}$.

Figure 1

5

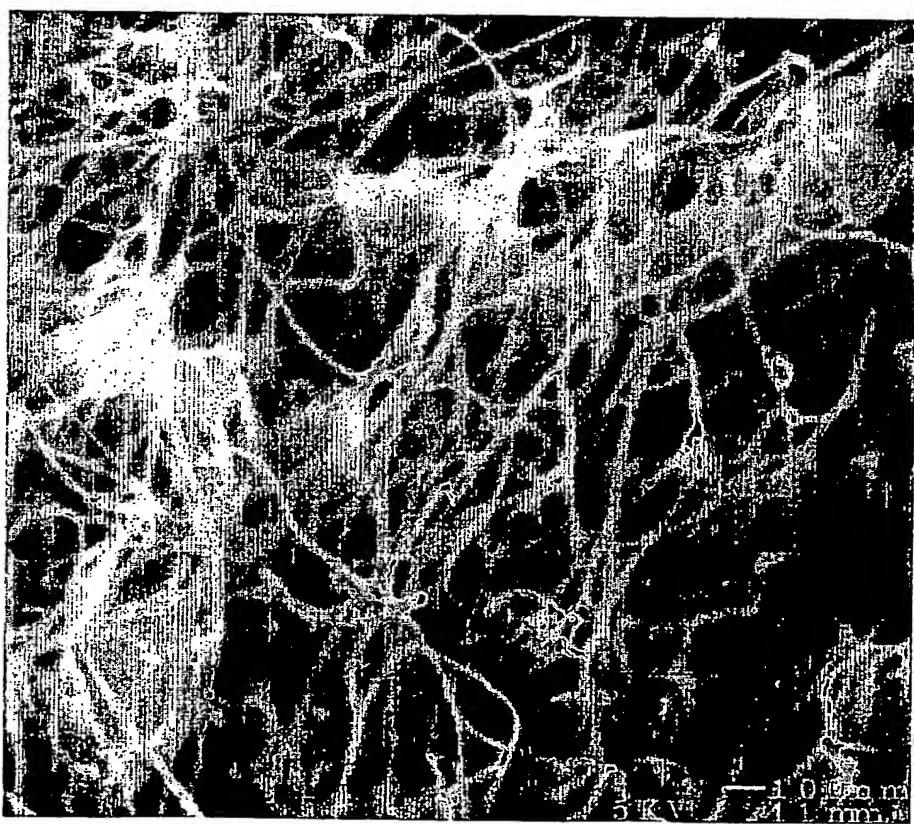


Figure 2

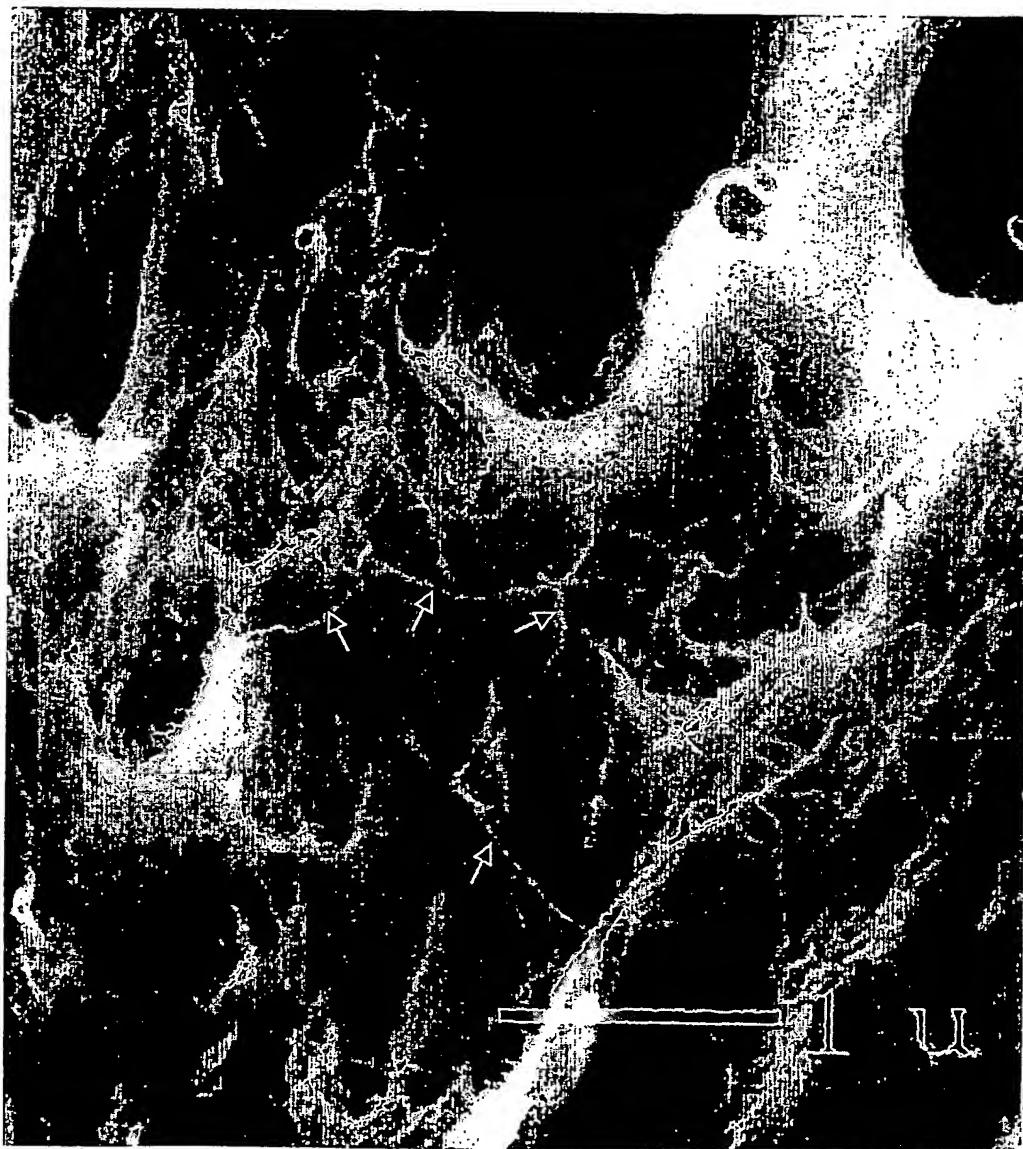


Figure 3

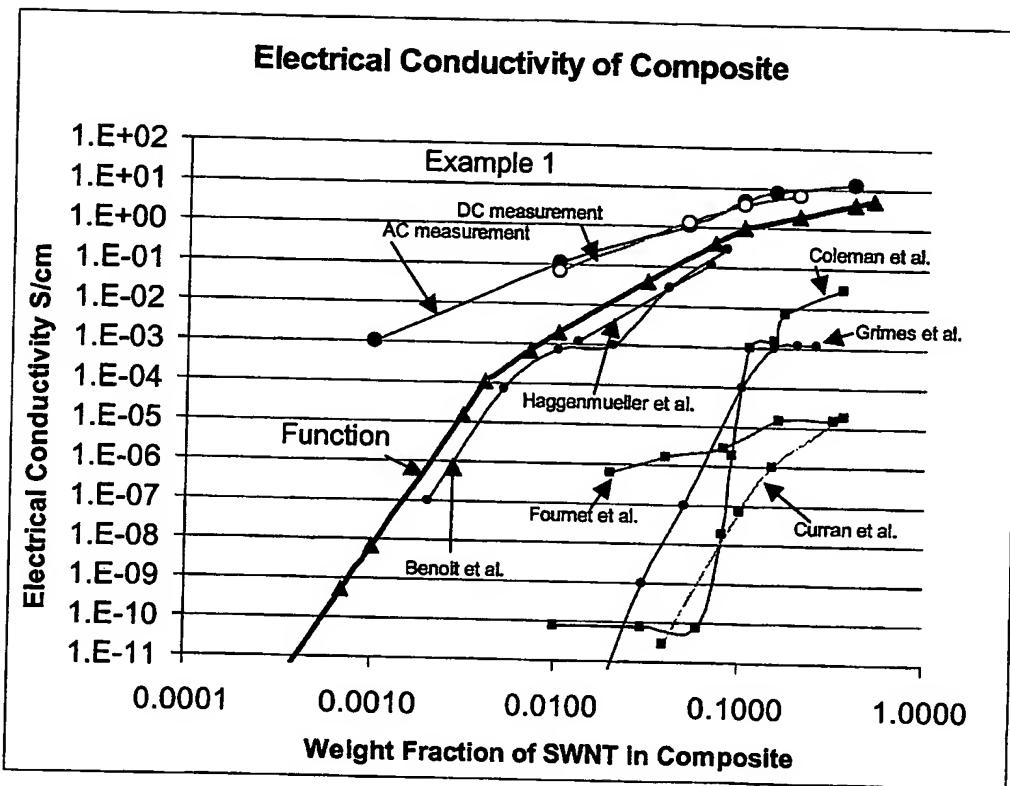


Figure 4

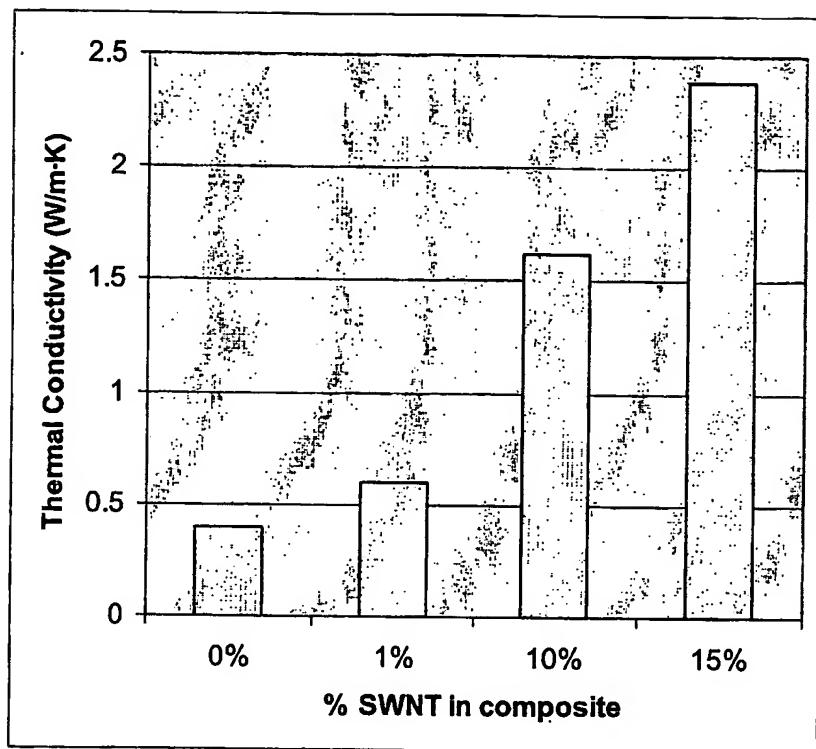
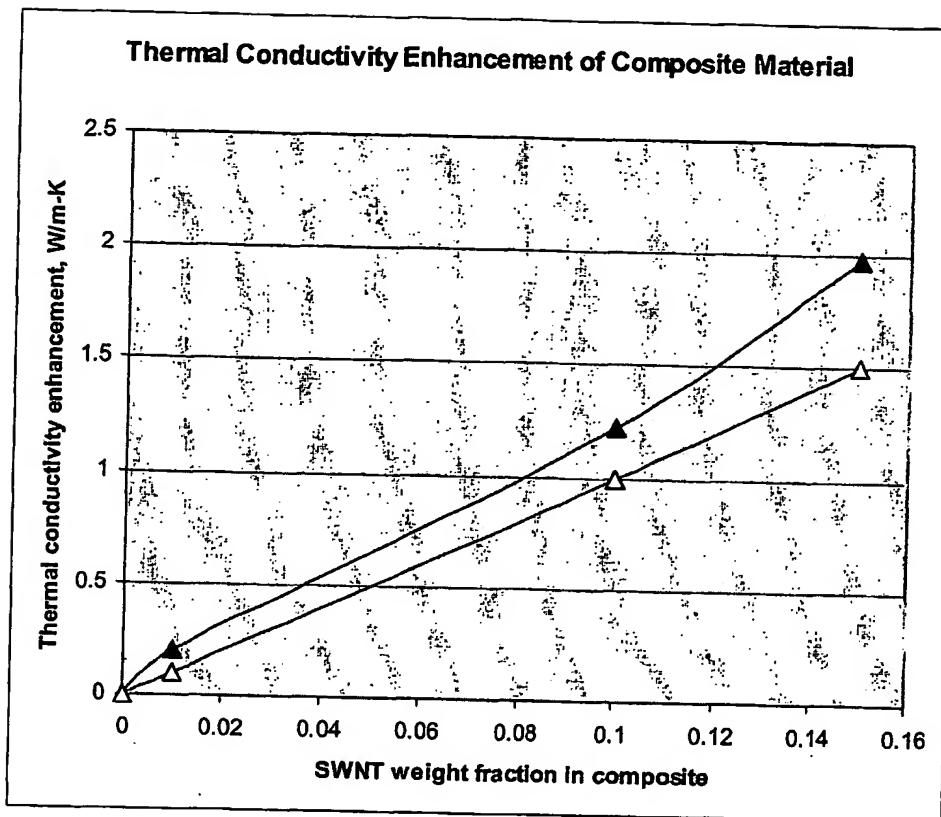


Figure 5



INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 03/07956A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C01B31/02 C08K3/04 C08K7/24 H01B1/12 H01B1/24

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B. FIELDS SEARCHED

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IPC 7 C01B C08K H01B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, INSPEC, CHEM ABS Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	WO 02 080195 A (DU PONT ;BLANCHET-FINCHER GRACIELA BEAT (US)) 10 October 2002 (2002-10-10) page 5, line 14 - line 32; examples; tables ---	1,6,10, 15-17,21
X	TAHHAN M. ET AL: "Conducting polymer-carbon nanotubes composites" PROCEEDINGS OF THE IEEE CONFERENCE ON NANOTECHNOLOGY 2001 (IEEE-NANO 2001), 29 October 2001 (2001-10-29), pages 229-232, XP001153341 "Experimental" and "Conductivity" --- -/-	1,6, 10-13, 15,16,21

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

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INTERNATIONAL SEARCH REPORT

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	BENOIT J-M.: "Transport properties of PMMA-carbon nanotubes composites" <i>SYNTHETIC METALS</i> , vol. 121, 2001, pages 1215-1216, XP002246980 cited in the application the whole document —	1,6,9, 10,15, 16,20,21
X	SHAFFER M S P ET AL: "Fabrication and characterization of carbon nanotube/poly(vinyl alcohol) composites" <i>ADVANCED MATERIALS</i> , VCH VERLAGSGESELLSCHAFT, WEINHEIM, DE, vol. 11, no. 11, 3 August 1999 (1999-08-03), pages 937-941, XP002206256 ISSN: 0935-9648 "Experimental" —	10,11, 16,17
X	COLEMAN J N ET AL: "Percolation-dominated conductivity in a conjugated-polymer-carbon-nanotube composite" <i>PHYSICAL REVIEW, B. CONDENSED MATTER</i> , AMERICAN INSTITUTE OF PHYSICS, NEW YORK, US, vol. 58, no. 12, 15 September 1998 (1998-09-15), pages R7492-R7495, XP002109880 ISSN: 0163-1829 cited in the application the whole document —	10,15, 16,20
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INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

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